## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Per-Ake Nygren, Mathias Uhlen and Olof Nord

Serial No. : 10/030,652

Filed: November 9, 2001

For : SELF-ASSEMBLING BIOMOLECULAR

**STRUCTURES** 

Examiner

Attorney Docket : 102359-100

Group Art Unit :

Confirmation No. : 4666

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP SEQUENCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

20 June 2003

Todd E. Garabedian, Ph.D. Registration No. 39,197

Attorney for Applicant(s)

# REPLY TO NOTIFICATION OF DEFECTIVE RESPONSE

MAIL STOP SEQUENCE Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With respect to the Notification of Defective Response mailed June 13, 2003, and having a one month period for response that expires on <u>July 13, 2003</u>, Applicants submit the following items relating to the above-identified patent application:

In the Notification of Defective Response, it was indicated that the computer readable form (CRF) of the Sequence Listing was melted and unreadable.

Applicants submit herewith a substitute CRF and paper copy of the sequence listing in accordance with the request from the US Patent Office.

Applicants submit that the content of the paper copy and the computer readable copy are the same, and where applicable, include no new matter. Applicants respectfully request that this substitute sequence listing be entered into the present application.

Applicants also take this opportunity to remind the US Patent Office that a Revocation of Original Power of Attorney and Grant of New Power of Attorney was filed in this application on July 30, 2002. In that Revocation, Applicants revoked the appointment of the attorneys of the law firm of Nilles & Nilles, and appointed the attorneys of Wiggin & Dana LLP. Copies of this Revocation and New Power of Attorney are enclosed. Applicants respectfully request that all future correspondence be sent to the law firm of Wiggin & Dana LLP as indicated on the Revocation and Power of Attorney.

The US Patent Office is authorized to charge any fees due with this response to Deposit Account No. 23-1665.

Respectfully submitted,

PER - AKE NYGREN ET AL.

Todd E. Garabedian, Ph.D.

Registration No. 39,197

Attorney for Applicant(s)

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United Riction Patent and Tradement Office Addres: COMMISSIONER OF PATENTS AND TRADEMARKS PARAMENT, Vigoria 22313-1450 www.magu.gov

FIRST NAMED APPLICANT ATTY, DOCKET NO. U.S. APPLICATION NUMBER NO. 2039.003 Per-Ake Nygren 10/030.652

James E Nilles Nilles & Nilles Firstar Center Suite 2000 777 East Wisconsin Avenue Milwaukee, WI 53202



INTERNATIONAL APPLICATION NO. PCT/GB00/01843 PRIORITY DATE LA. FILING DATE 05/15/2000

**CONFIRMATION NO. 4666** 371 FORMALITIES LETTER OC000000010244982\*

Date Mailed: 06/13/2003

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status Copy of the International Application filed on 01/09/2002
- Copy of the International Search Report filed on 01/09/2002
- Copy of IPE Report filed on 01/09/2002
- Preliminary Amendments filed on 01/09/2002
  - Biochemical Sequence Diskette filed on 08/05/2002
  - Oath or Declaration filed on 08/05/2002
  - Biochemical Sequence Listing filed on 01/09/2002
  - Small Entity Statement filed on 01/09/2002 Copy of references cited in ISR filed on 01/09/2002
  - U.S. Basic National Fees filed on 01/09/2002
  - Substitute Specification filed on 01/09/2002
  - Assignee Statement filed on 08/05/2002
  - Power of Attorney filed on 08/05/2002

Applicant's response filed 08/05/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/01/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1,136(a).

The following items MUST be furnished within the period set forth below:

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):







- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### LAMONT M HUNTER

Telephone: (703) 305-3686

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,652	PCT/GB00/01843	2039.003

FORM PCT/DO/EO/918 (371 Formalities Notice)

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BIOTECHNOLOGY
SYSTEMS
PRANCH

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# CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 10 030,652  Filing Date: 01 09 2002  Date Processed by STIC: 5 16 03
STIC Contact: Mark Spencer, 703-308-4212
Nature of Problem:
The CRF (was):
The CRF (was):
(circle one) Damaged or Unreadable (for Unreadable, see attached)
Blank (no files on CRF) (see attached)
Empty file (filename present, but no bytes in file) (see attached)
Virus-infected. Virus name: The STIC will not process the CRF.
Not saved in ASCII text
Sequence Listing was embedded in the file. According to Sequence Rules,
submitted file should only be the Sequence Listing.
. AMAINIMA

PLEASE USE THE CHECKER VERSION 4.0 PROGRAM TO REDUCE ERRORS. SEE BELOW FOR ADDRESS:

http:/www.uspto.gov/web/offices/pac/checker

Did not contain a Sequence Listing. (see attached sample)

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to:
   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name,
   Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
  - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1803-Mailroom, Crystal Plaza Two, 2011 S uth Clark Place, Arlington, VA 22202

Revised 04/24/2003

Other: